I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents,

Washington, D.C. 20231 on

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of:

POTTER et al.

COPY OF PAPERSerial No.: 08/976,566

ORIGINALLY FILED

Group Art Unit: 1641

Filing Date: November 24, 1997

Examiner: J. Shaver

Title:

ENHANCED IMMUNOGENICITY USING LEUKOTOXING CHIMERAS

REQUEST FOR A CORRECTED FILING RECEIPT

Assistant Commissioner for Patents Washington, D.C. 20231

Sir:

Please furnish the undersigned with a corrected filing receipt for the above-identified application. The corrections needed are as follows:

Delete **SMALL ENTITY**

Attached is a copy of the Filing Receipt with the correction highlighted. Also included is a copy of the Application Transmittal Letter showing that small entity status is no longer claimed.

It is believed that no fee is due. If for any reason a fee is found to be necessary, our Deposit Account No. 18-1648 may be charged therefor.

Respectfully submitted,

Date: 5/28/02

Registration No. 33,208

ROBINS & PASTERNAK LLP 545 Middlefield Road, Suite 180 Menlo Park, CA 94025

Telephone: (650) 325-7812 Facsimile: (650) 325-7823





United States Patent and Trademark Office

FEB 2 6 2002

ROBINS & PASTERNATE LL AND TRADEMARK OFFICE WASHINGTON, D.C. 20231 www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY.DOCKET.NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
				0001			

08/976.566

11/24/1997

1645

395

9001-0016.01

45

20855 **ROBINS & PASTERNAK LLP** 545 MIDDLEFIELD ROAD **SUITE 180** MENLO PARK, CA 94025



CONFIRMATION NO. 2057 CORRECTED FILING RECEIPT *OC000000007494636*

Date Mailed: 02/19/2002

Receipt is acknowledged of a CPA in this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

ANDREW A. POTTER, SASKATOON, CANADA: MARK J. REDMOND, SASKATOON, CANADA; HUW P.A. HUGHES, SASKATOON, CANADA;

RECEIVED

JUL 1 8 2002

Domestic Priority data as claimed by applicant

TECH CENTER 1600/2900
THIS APPLICATION IS A CON OF 08/455,970 05/31/1995 PAT 5,708,155
WHICH IS A DIV OF 07/960 233 40/4 4/4005 THE WHICH IS A DIV OF 07/960,932 10/14/1992 PAT 5,422,110 WHICH IS A CIP OF 07/779,171 10/16/1991 ABN

Foreign Applications

If Required, Foreign Filing License Granted 02/19/2002

CPA filed on: 01/22/2002

Projected Publication Date: 05/30/2002

Non-Publication Request: No

Early Publication Request: No

9001-0016.01

Title

ENHANCED IMMUNOGENICITY USING LEUKOTOXIN CHIMERAS

Preliminary Class

536

LICENSE FOR FOREIGN FILING UNDER Title 35, United States Code, Section 184 Title 37, Code of Federal Regulations, 5.11 & 5.15

GRANTED

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

NOT GRANTED

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).

CONTINUED PROSECUTION APPLICATION (CPA) REQUEST TRANSMITTAL UNDER 37 CFR 1.53(d)

Atty Dkt No. 9002-0016.01

Address to:

Box CPA Assistant Commissioner for Patents Washington, D.C. 20231

First Named Inventor POTTER

Express Mail Label No. EV 036 420 089 US

Total Pages 2

	2UPA00544200A9US
<u>x</u>	"Express Mail" Mailing Label No. EV 036 420 089 US Date of Deposit January 22, 2002 I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to the Assistant Commissioner for Patents, Box CPA, Washington, D.C. 20231. Typed or Printed Name of Person Mailing Paper or Fee) (Signature of Person Mailing Paper or Fee)
This is	a Request for a X continuation divisional application under 37 CFR 1.53(d), (continued
prosec	ution application (CPA)) of prior application serial no. 08/976,566 filed on November 24, 1997,
entitle	d ENHANCED IMMUNOGENICITY USING LEUKOTOXIN CHIMERAS.
1	Enter the unentered amendment previously filed on under 37 CFR 1.116 in the prior nonprovisional application.
2	A preliminary amendment is enclosed.
3	This application is filed by fewer than all the inventors named in the prior application, 37 CFR 1.53(d)(4).
	a DELETE the following inventor(s) named in the prior nonprovisional application:
	b The inventor(s) to be deleted are set forth on a separate sheet attached hereto.
4	A new Power of Attorney or authorization of agent (PTO/SB/81) is enclosed.
5	Information Disclosure Statement (IDS) is enclosed:
	a PTO-1449
	b References

\$ 1 m	}							
Total Claims 5 minus 20 = 0 Independent Claims 1 minus 3 = 0	x	\$18.00		\$0				
Independent Claims 1 minus 3 = 0	x	\$84.00		\$0				
Multiple dependent claims (if applicable)		\$280.00		\$0				
		В	ASIC FEE	\$740				
TOTAL OF ABOVE CALCULATIONS								
Reduction by 1/2 for filing by small entity (Note 37 CFR 1.9, 1.27, 1.28).								
			TOTAL	\$740				

6 X Small entity status:

- A small entity statement is enclosed.
- A small entity statement was filed in the prior nonprovisional application and such status is still proper and desired.

is no longer claimed.

- 7. X The Commissioner is hereby authorized to credit overpayments or charge any additional fees to Deposit Account No. 18-1648.
- 8. X A check to cover the \$740 application fee is enclosed.
- 9. X Other: Petition for Extension of Time in parent case; Blanket Petition For Extension of Time and Authorization to Charge or Credit Deposit Account; return postcard.
- 10. X Correspondence address

Customer No. 20855 **ROBINS & PASTERNAK LLP** 90 Middlefield Road, Suite 200 Menlo Park, CA 94025

phone: (650) 325-7812

Bar Code:

fax: (650) 325-7823.

Roberta L. Robins Reg. No. 33,208

RECEIVED

JUL 1, 8 2002

TECH CENTER 1600/2900



Atty Dkt No. 9001-0016.01

USSN: 08/976,566

PATENT

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on 28 202

5/28/02

Susan La Mont

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of:

RECEIVED

POTTER et al.

JUL 1 8 2002

Serial No.: 08/976,566

Art Unit: 1641

Examiner: J. Shaver TECH CENTER 1600/2900

Title:

ENHANCED IMMUNOGENICITY USING LEUKOTOXIN

CHIMERAS

Filing Date: November 24, 1997

AMENDMENT TRANSMITTAL LETTER

Assistant Commissioner for Patents Washington, D.C. 20231

Sir:

Transmitted herewith for filing is an amendment in the above patent application in response to the Office Action of February 5, 2002.

- <u>X</u> Petition for Extension of Time enclosed.
- X No additional fee is required.
- X Also enclosed: Version with Markings to Show Changes Made; Currently Pending Claims; Request for Corrected Filing Receipt; postcard

No. of Claims After Amendment		Most Claims Previously Paid		Extra Claims		Additional Fee		
A. Total Claims			=		х	\$18	=	\$
B. Ind. Claims	3.5		=		х	\$84	=	
C. If amended to contain multiple dependent claims, add 280				\$280	=	\$		

D. Total Amendment Fee (Total of A, B & C)	=	
E. If small entity, 50% reduction of Total Amendment Fee (50% of D)	=	
F. Total Amendment Fee (D minus E)	=	\$

- X A check for \$ 110 to cover the extension of time fee is attached.
- ___ Charge \$ to Deposit Account No. 18-1648.

The Commissioner is hereby authorized to charge any fees under 37 C.F.R. §§ 1.16, 1.17 and 1.21 which may be required by this paper, or to credit any overpayment, to Deposit Account No. 18-1648.

Respectfully submitted,

Date: $\frac{5/28/02}{}$

Roberta L. Robins

Registration No. 33,208

Attorney for Applicants

ROBINS & PASTERNAK LLP 545 Middlefield Road, Suite 180 Menlo Park, CA 94025

Telephone: 650-325-7812 Facsimile: 650-325-7823